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PTO/SB/05 (11-00)

Approved for use through 10/31/02. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 562072000300

First Inventor David HODESS

Title SYSTEM AND APPARATUS FOR PROTECTING DIGITAL MEDIA

Express Mail Label No. EV301223121US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EV301223121US

Date of Deposit: October 7, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Marco Jimenez

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450, Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 10]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets 4]

5. ☒ Oath or Declaration [Total Pages 4]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (where there is an assignee) ☐ Power of Attorney By Assignee
11. ☐ English Translation document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citation(s): 25
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
Should be specifically itemized
15. ☐ Certified Copy of Priority Document
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. *

Prior application information:

Examiner *

Group / Art Unit: *

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below

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Registration No. (Attorney/Agent)

44,415

Signature

Date October 7, 2003

la-684614

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FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

☒ Applicant Claims Small Entity Status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$425.00)

Complete if Known

Application Number Not yet assigned

Filing Date Concurrently herewith

First Named Inventor David HODESS

Examiner Name Not yet assigned

Group Art Unit Not yet assigned

Attorney Docket No. 5620720003-90

METHOD OF PAYMENT

☐ Check ☐ Credit Card ☐ Money Order ☐ OtherDeposit
Account
Number

03-1952

Deposit
Account
Name

Morrison & Foerster LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) required Under 37 CFR 1.16 and 1.17☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity

Small Entity

Fee Description

Fee
CodeFee
(\$)Fee
CodeFee
(\$)Fee
Paid

1001

770

2001

385

Utility filing fee

385

1002

340

2002

170

Design filing fee

1003

530

2003

265

Plant filing fee

1004

750

2004

385

Reissue filing fee

1005

160

2005

80

Provisional filing fee

SUBTOTAL (1)

(\$385.00)

2. EXTRA CLAIM FEES

Total Claims

9

- 20 =

Extra
Claims

0

x

Fee from
below

9

=

\$0

Independent
Claims

2

- 3 =

0

x

43

=

\$0

Multiple Dependent

=

\$

Large Entity

Small Entity

Fee Description

Fee
CodeFee
(\$)Fee
CodeFee
(\$)Fee
Paid

1202

18

2202

9

Claims in excess of 20

1201

88

2201

43

Independent claims in excess of 3

1203

290

2203

145

Multiple dependent claims, if not paid

1204

88

2204

43

**Reissue independent claims over original patent

1205

18

2205

9

**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$0)

** or number previously paid, if greater; For Reissues, see above.

3. ADDITIONAL FEES

Large Entity

Small Entity

Fee
CodeFee
(\$)Fee
CodeFee
(\$)

Fee Description

Fee Paid

1051

130

2051

65

Surcharge - late filing fee or oath

1804

920*

1804

920*

Requesting publication of SIR prior to Examiner action

1805

1,840*

1805

1,840*

Requesting publication of SIR after Examiner action

1251

110

2251

55

Extension for reply within first month

1252

420

2252

210

Extension for reply within second month

1253

950

2253

475

Extension for reply within third month

1254

1,480

2254

740

Extension for reply within fourth month

1255

2010

2255

1005

Extension for reply within fifth month

1401

330

2401

165

Notice of Appeal

1402

330

2402

165

Filing a brief in support of an appeal

1403

290

2403

145

Request for oral hearing

1451

1,510

1451

1,510

Petition to institute a public use proceeding

1452

110

2452

55

Petition to revive - unavoidable

1453

1,330

2453

665

Petition to revive - unintentional

1501

1,330

2501

665

Utility issue fee (or reissue)

1502

480

2502

240

Design issue fee

1503

640

2503

320

Plant issue fee

1460

130

1460

130

Petitions to the Commissioner

1807

50

1807

50

Petitions related to provisional applications

1806

180

1806

180

Submission of Information Disclosure Stmt

8021

40

8021

40

Recording each patent assignment per properties (times number of properties)

40

1809

770

2809

385

Filing a submission after final rejection

1810

770

2810

385

For each additional invention to be examined (37 CFR § 1.129(b))

1801

770

2801

385

Request for Continued Examination (RCE)

1802

900

1802

900

Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$40.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

David T. Yang

Registration No.

44,415

Telephone

(213) 892-5587

Signature

Date

October 7, 2003

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